

05/23/01
JC564 U.S. PTO

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Please type a plus sign (+) inside this box [+]

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390P7462D

First Named Inventor Krishna Parat et al.

Title: A NOVEL FLASH INTEGRATED CIRCUIT AND ITS METHOD OF FABRICATION

Express Mail Label No. EL 672 746 938 US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 28)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 12)**
5. **Oath or Declaration (Total Pages 6)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

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11-9701

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PTO/SB/05 (08-00)
11-9701

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other: Submission of Formal Drawings, Express Mail Certificate of Mailing

17A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: 09/351,498 Examiner Jose Diaz Group Art Unit 2815

(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____
(or a copy of which is attached).

18. Correspondence Address

Customer Number or Bar Code Label _____
(Insert Customer No. or Attach Bar Code Label here)
or

Correspondence Address Below

NAME Michael A. Bernadicou
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Michael A. Bernadicou Registration No.: 35,934

Signature: Michael A. Bernadicou Date: 5/23/01

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$790.00

Complete if Known:

Application No. Not yet assignedFiling Date HerewithFirst Named Inventor Krishna Parat et al.Group Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 042390P7462D

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>	
Fee Code	Fee (\$)	Fee Code (\$)		
101	710	201	Utility application filing fee	710.00
106	320	206	Design application filing fee	
107	490	207	Plant filing fee	
108	710	208	Reissue filing fee	
114	150	214	Provisional application filing fee	

SUBTOTAL (1) \$ 710.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims 4	- 20** = 0	X \$18.00	= \$0.00
Independent Claims 4	- 3** = 1	X \$80.00	= \$80.00
Multiple Dependent		\$270.00	= \$0.00

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	
Fee Code	Fee (\$)		
103	18	203	Claims in excess of 20
102	80	202	Independent claims in excess of 3
104	270	204	Multiple dependent claim, if not paid
109	80	209	**Reissue independent claims over original patent
110	18	210	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 80.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	390	216	Extension for response within second month
117	890	217	Extension for response within third month
118	1,390	218	Extension for response within fourth month
128	1,890	228	Extension for response within fifth month
119	310	219	Notice of Appeal
120	310	220	Filing a brief in support of an appeal
121	270	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee
141	1,240	241	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee
142	1,240	242	Utility issue fee (or reissue)
143	440	243	Design issue fee
144	600	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	180	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	710	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	Request for Continued Examination (RCE)
169	900	169	Request for expedited examination of a design application

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$0.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael A. Bernadicou

Signature: Michael A. Bernadicou Date: 5/23/01

Reg. Number: 35,934 Telephone Number: (408) 720-8300

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672746938US
Date of Deposit: MAY 23, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

TERESA Edwards
(Typed or printed name of person mailing paper or fee)

Teresa Edwards
(Signature of person mailing paper or fee)

MAY 23, 2001
(Date signed)

Serial/Patent No.: Not yet assigned Filing/Issue Date: Herewith
Client: Intel Corporation
Title: A NOVEL FLASH INTEGRATED CIRCUIT AND ITS METHOD OF FABRICATION

BSTZ File No.: 042390P7462D Atty/Secty Initials: MAB/te
Date Mailed: MAY 23, 2001 Docket Due Date: _____

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereop:

Amendment/Response (____ pgs.) Express Mail No.: EL672746938US Check No.: \$12999
 Appeal Brief (____ pgs.) (in triplicate) _____ Month(s) Extension of Time _____ Amt: \$190.00
 Application - Utility (____ pgs., with cover and abstract) Information Disclosure Statement & PTO-149 (____ pgs.) Check No.: _____
 Application - Rule 1.53(b) Continuation (____ pgs.) Issue Fee Transmittal _____ Amt: _____
 Application - Rule 1.53(b) Divisional (____ pgs.) Notice of Appeal _____
 Application - Rule 1.53(b) CIP (____ pgs.) Petition for Extension of Time _____
 Application - Rule 1.53(d) CPA Transmittal (____ pgs.) Petition for _____
 Application - Design (____ pgs.) Postcard _____
 Application - PCT (____ pgs.) Power of Attorney (____ pgs.) _____
 Application - Provisional (____ pgs.) Preliminary Amendment (____ pgs.) _____
 Assignment and Cover Sheet Reply Brief (____ pgs.) _____
 Certificate of Mailing Response to Notice of Missing Parts _____
 Declaration & POA (____ pgs.) Small Entity Declaration for Indep. Inventor/Small Business _____
 Disclosure Docs & Orig & Copy of Inventor Signed Letter (____ pgs.) Transmittal Letter, in duplicate _____
 Drawings: 12 # of sheets includes 36 figures Fee Transmittal, in duplicate _____

Other: Formal Drawings Submission, copies of Cited References, copy of Specification, DPOA and Figures as originally submitted Express Mail Certificate of Mailing

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